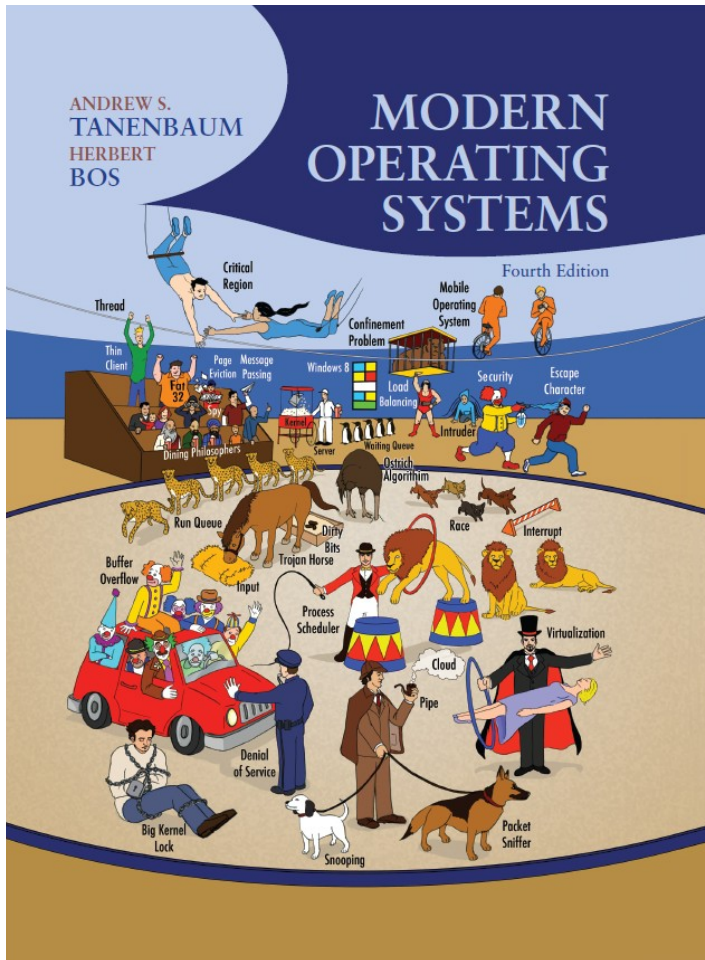


# Modern Operating Systems

Fourth Edition



## Chapter 2

### Processes and Threads

# The Process Model (1 of 3)

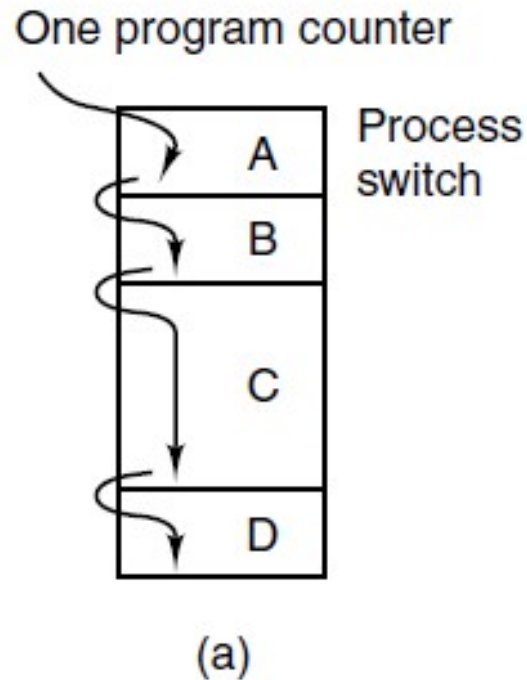
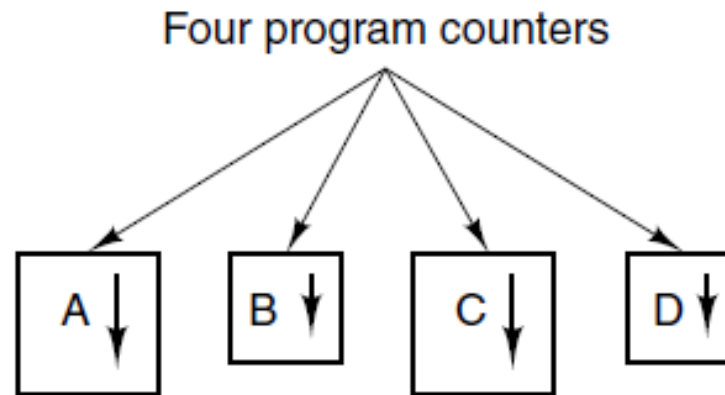


Figure 2-1. (a) Multiprogramming of four programs.

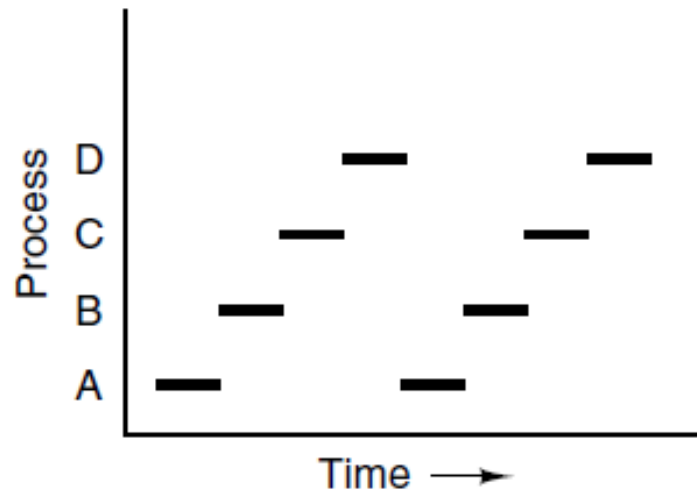
# The Process Model (2 of 3)



(b)

Figure 2-1. (b) Conceptual model of four independent, sequential processes.

# The Process Model (3 of 3)



(c)

Figure 2-1. (c) Only one program is active at once.

# Process Creation

Four principal events that cause processes to be created:

1. System initialization.
2. Execution of a process creation system call by a running process.
3. A user request to create a new process.
4. Initiation of a batch job.

# Process Termination

Typical conditions which terminate a process:

1. Normal exit (voluntary).
2. Error exit (voluntary).
3. Fatal error (involuntary).
4. Killed by another process (involuntary).

# Process States (1 of 3)

Three states a process may be in:

1. Running (actually using the CPU at that instant).
2. Ready (runnable; temporarily stopped to let another process run).
3. Blocked (unable to run until some external event happens).

# Process States (2 of 3)

1. Process blocks for input
2. Scheduler picks another process
3. Scheduler picks this process
4. Input becomes available

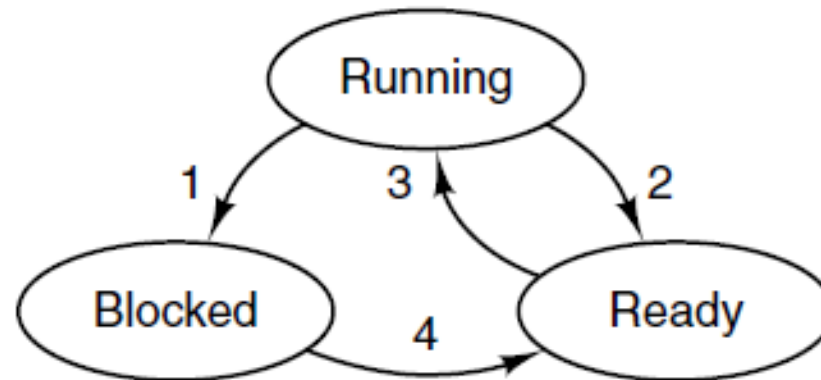


Figure 2-2. A process can be in running, blocked, or ready state. Transitions between these states are as shown.



# Process States (3 of 3)

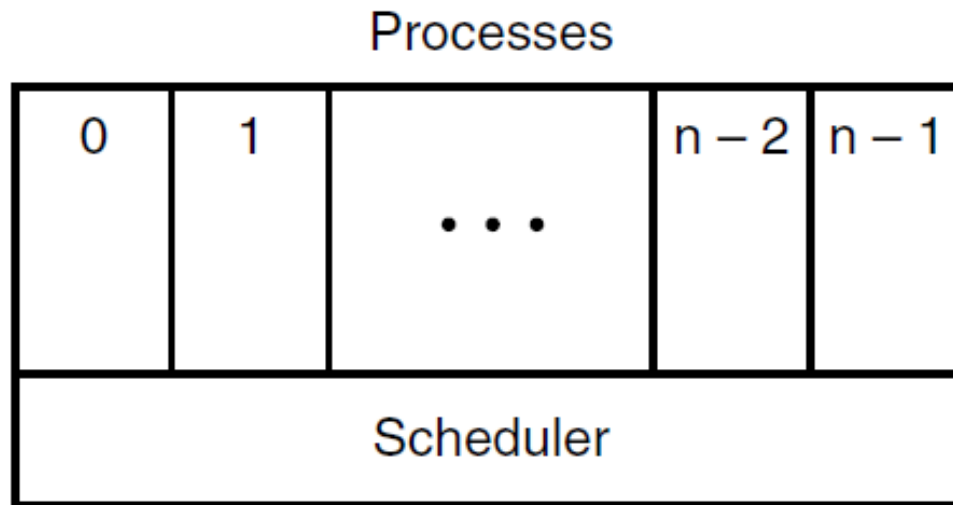


Figure 2-3. The lowest layer of a process-structured operating system handles interrupts and scheduling. Above that layer are sequential processes.

# Implementation of Processes (1 of 2)

Some of the fields of a typical process table entry.

Process management Registers Program counter Program status word Stack pointer Process state Priority Scheduling parameters Process ID Parent process Process group Signals Time when process started CPU time used Children's CPU time Time of next alarm	Memory management Pointer to text segment info Pointer to data segment info Pointer to stack segment info	File management Root directory Working directory File descriptors User ID Group ID
---	--	---

# Implementation of Processes (2 of 2)

Skeleton of what the lowest level of the operating system does when an interrupt occurs.

1. Hardware stacks program counter, etc.
2. Hardware loads new program counter from interrupt vector.
3. Assembly language procedure saves registers.
4. Assembly language procedure sets up new stack.
5. C interrupt service runs (typically reads and buffers input).
6. Scheduler decides which process is to run next.
7. C procedure returns to the assembly code.
8. Assembly language procedure starts up new current process.

# Modeling Multiprogramming

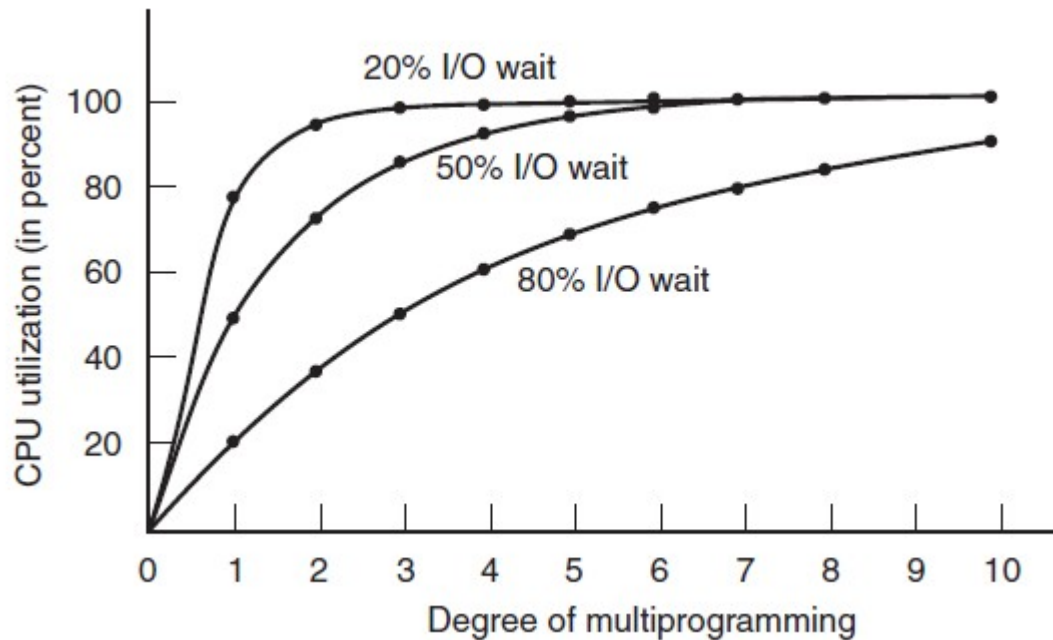


Figure 2-6. CPU utilization as a function of the number of processes in memory.

# Thread Usage (1 of 4)

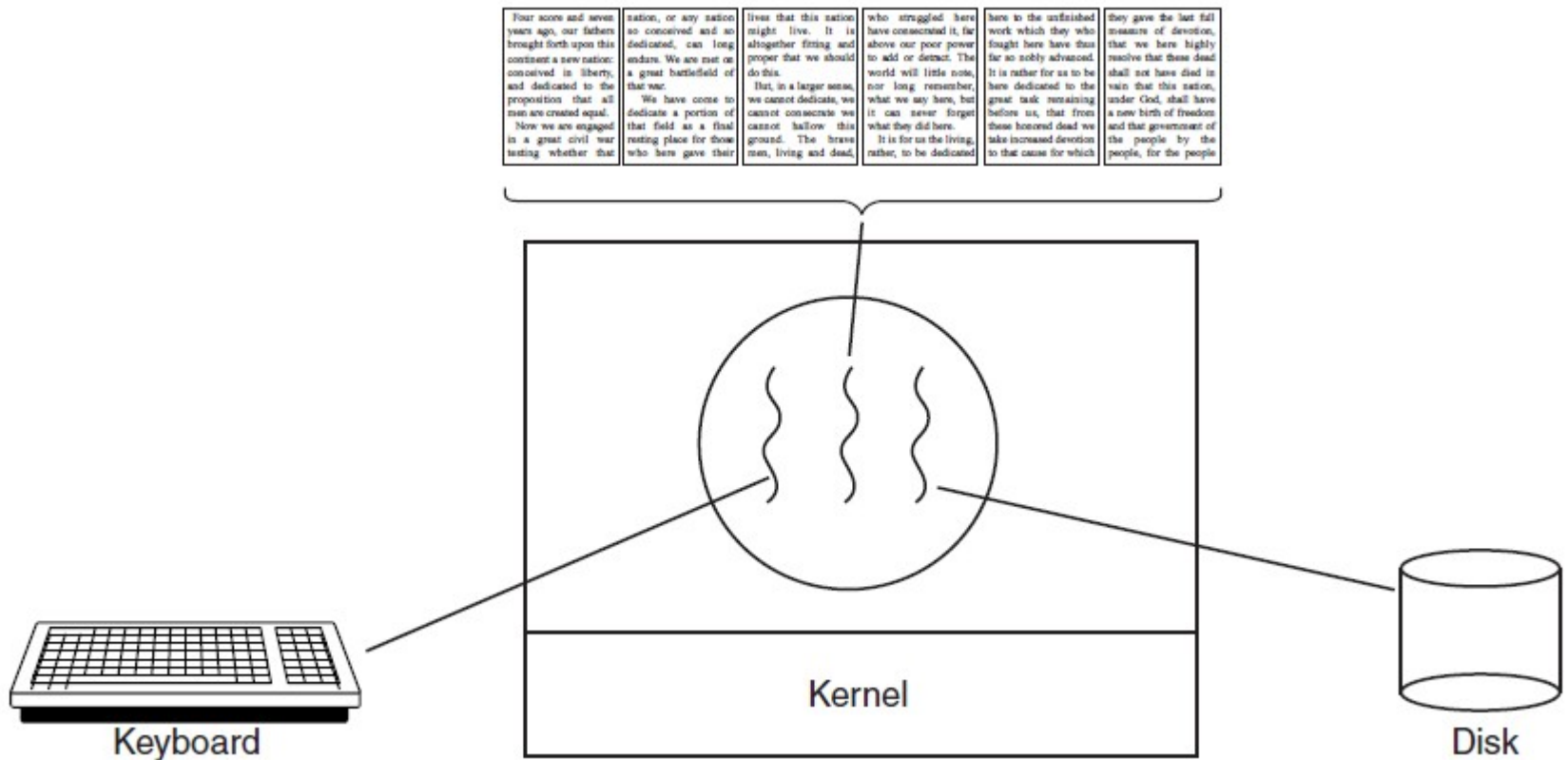


Figure 2-7. A word processor with three threads.

# Thread Usage (2 of 4)

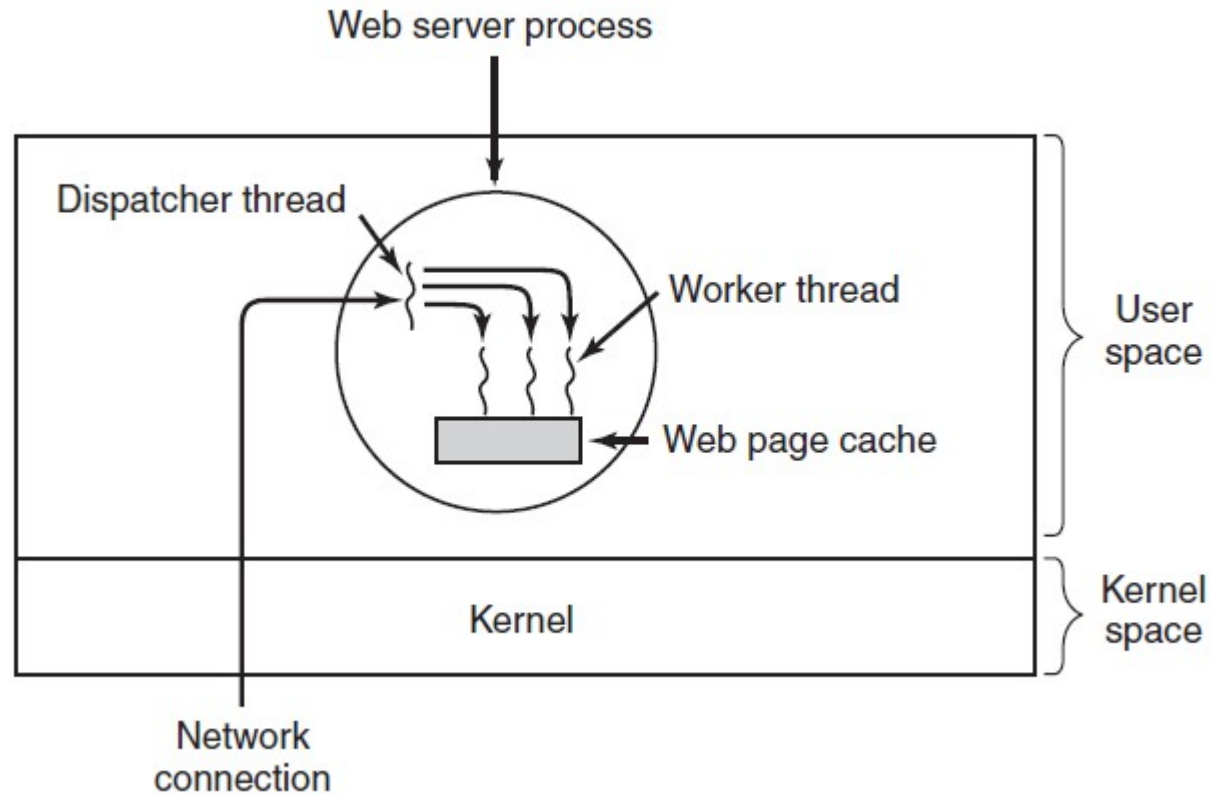


Figure 2-8. A multithreaded Web server.

# Thread Usage (3 of 4)

```
while (TRUE) {  
    get_next_request(&buf);  
    handoff_work(&buf);  
}
```

(a)

```
while (TRUE) {  
    wait_for_work(&buf)  
    look_for_page_in_cache(&buf, &page);  
    if (page_not_in_cache(&page))  
        read_page_from_disk(&buf, &page);  
    return_page(&page);  
}
```

(b)

Figure 2-9. A rough outline of the code for Fig. 2-8. (a) Dispatcher thread. (b) Worker thread.

# Thread Usage (4 of 4)

Three ways to construct a server.

<b>Model</b>	<b>Characteristics</b>
Threads	Parallelism, blocking system calls
Single-threaded process	No parallelism, blocking system calls
Finite-state machine	Parallelism, nonblocking system calls, interrupts



# The Classical Thread Model (1 of 3)

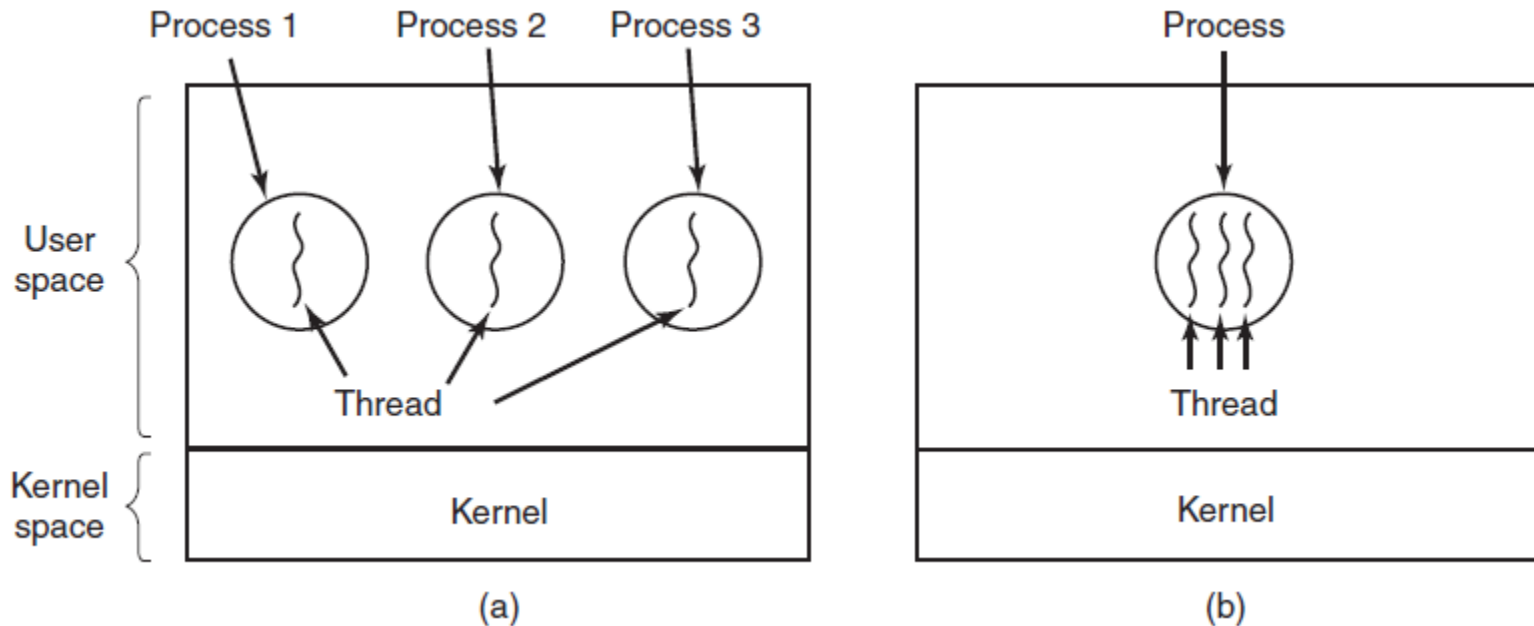


Figure 2-11. (a) Three processes each with one thread. (b) One process with three threads.

# The Classical Thread Model (2 of 3)

The first column lists some items shared by all threads in a process. The second one lists some items private to each thread.

<b>Per process items</b>	<b>Per thread items</b>
Address space	Program counter
Global variables	Registers
Open files	Stack
Child processes	State
Pending alarms	
Signals and signal handlers	
Accounting information	

# The Classical Thread Model (3 of 3)

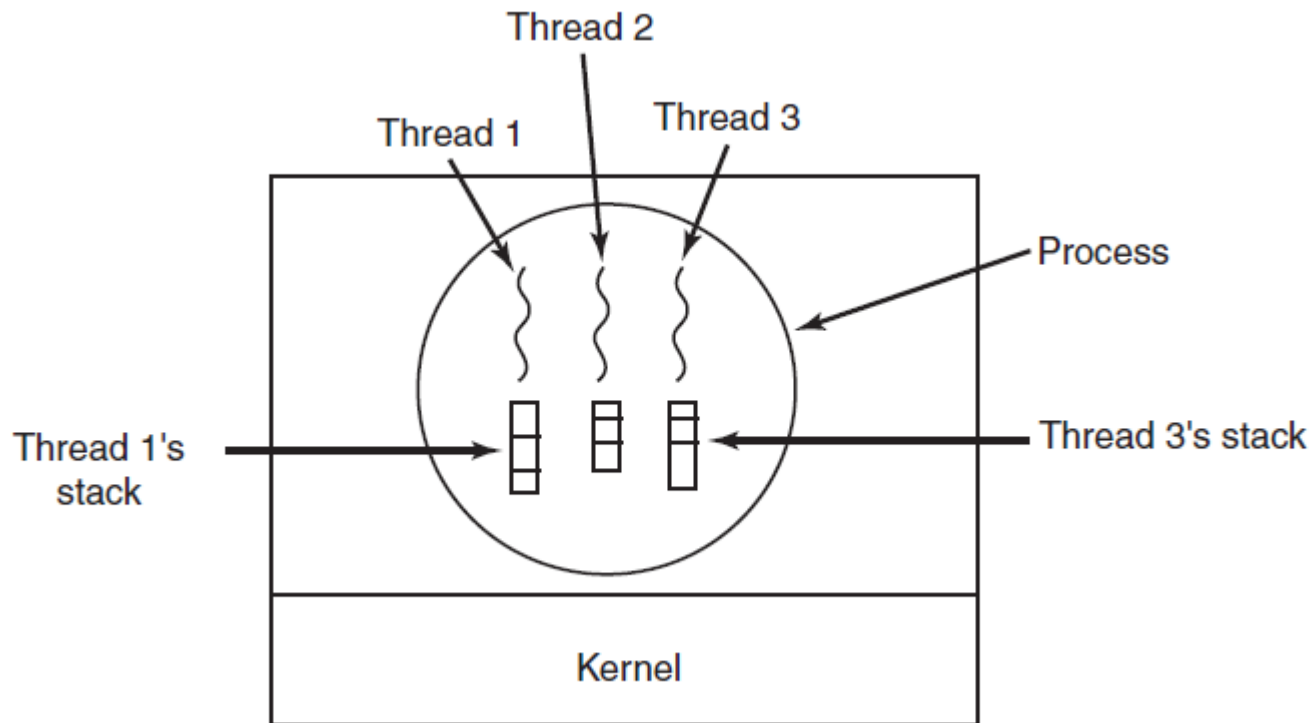


Figure 2-13. Each thread has its own stack.

# POSIX Threads (1 of 3)

Some of the Pthreads function calls.

Thread call	Description
Pthread_create	Create a new thread
Pthread_exit	Terminate the calling thread
Pthread_join	Wait for a specific thread to exit
Pthread_yield	Release the CPU to let another thread run
Pthread_attr_init	Create and initialize a thread's attribute structure
Pthread_attr_destroy	Remove a thread's attribute structure

# POSIX Threads (2 of 3)

```
#include <pthread.h>
#include <stdio.h>
#include <stdlib.h>

#define NUMBER_OF_THREADS 10

void *print_hello_world(void *tid)
{
    /* This function prints the thread's identifier and then exits. */
    printf("Hello World. Greetings from thread %d\n", tid);
    pthread_exit(NULL);
}

int main(int argc, char *argv[])
{
    /* The main program creates 10 threads and then exits. */
    pthread_t threads[NUMBER_OF_THREADS];
    int status, i;

    for(i=0; i < NUMBER_OF_THREADS; i++) {
        printf("Main here. Creating thread %d\n", i);
        status = pthread_create(&threads[i], NULL, print_hello_world, (void *)i);
    }
}
```

Figure 2-15. An example program using threads.

# POSIX Threads (3 of 3)

```
int status, r;

for(i=0; i < NUMBER_OF_THREADS; i++) {
    printf("Main here. Creating thread %d\n", i);
    status = pthread_create(&threads[i], NULL, print_hello_world, (void *)i);

    if (status != 0) {
        printf("Oops. pthread_create returned error code %d\n", status);
        exit(-1);
    }
}
exit(NULL);
}
```

Figure 2-15. An example program using threads.

# Implementing Threads in User Space

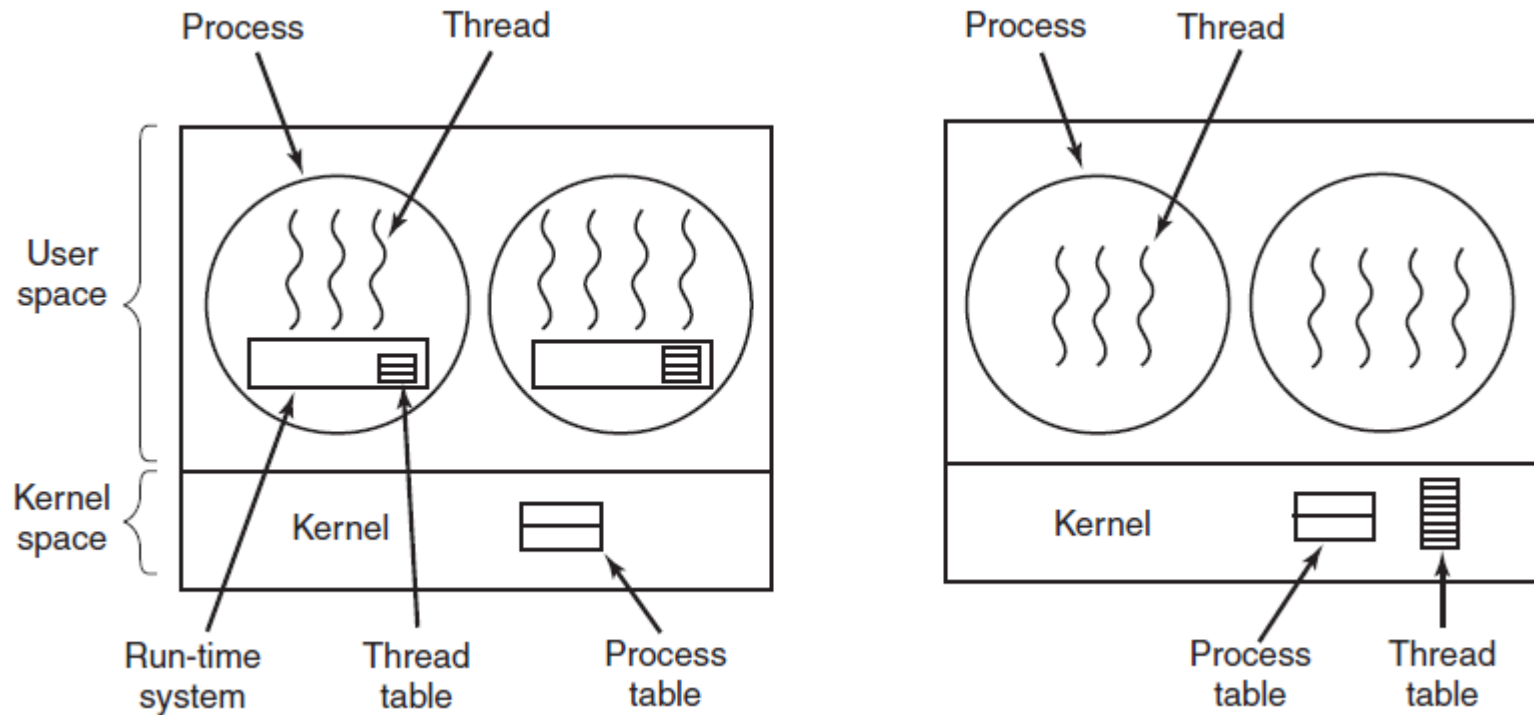


Figure 2-16. (a) A user-level threads package. (b) A threads package managed by the kernel.

# Hybrid Implementations

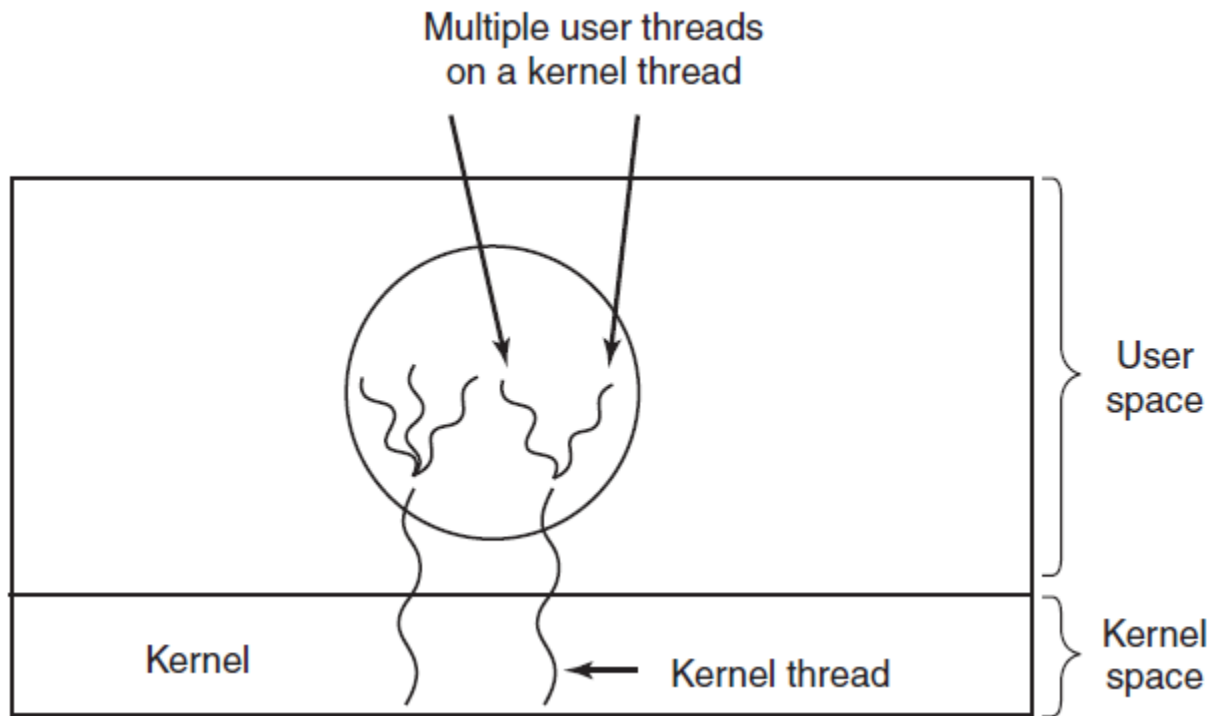


Figure 2-17. Multiplexing user-level threads onto kernel-level threads.



# Pop-Up Threads

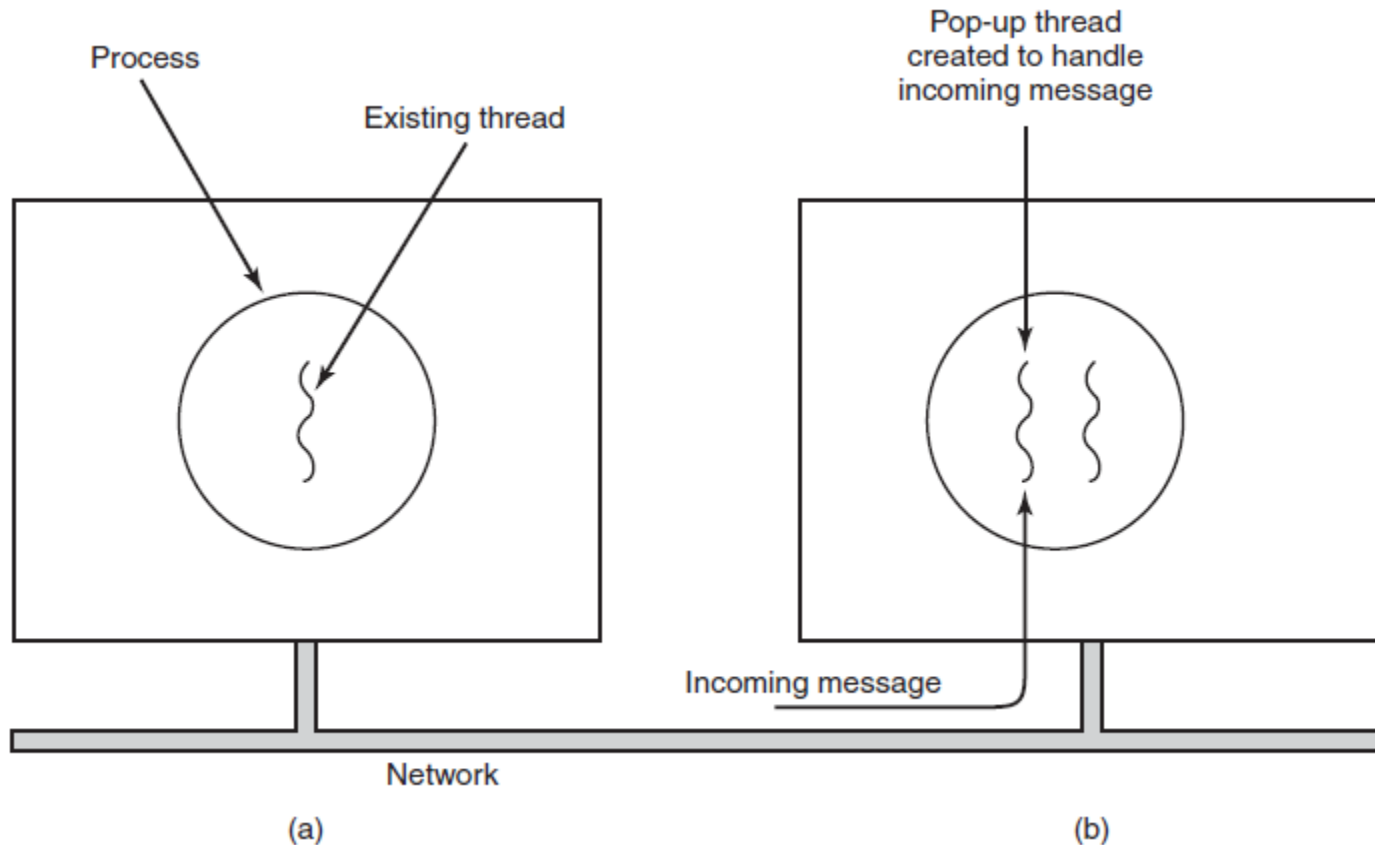


Figure 2-18. Creation of a new thread when a message arrives. (a) Before the message arrives. (b) After the message arrives.

# Making Single-Threaded Code Multithreaded (1 of 2)

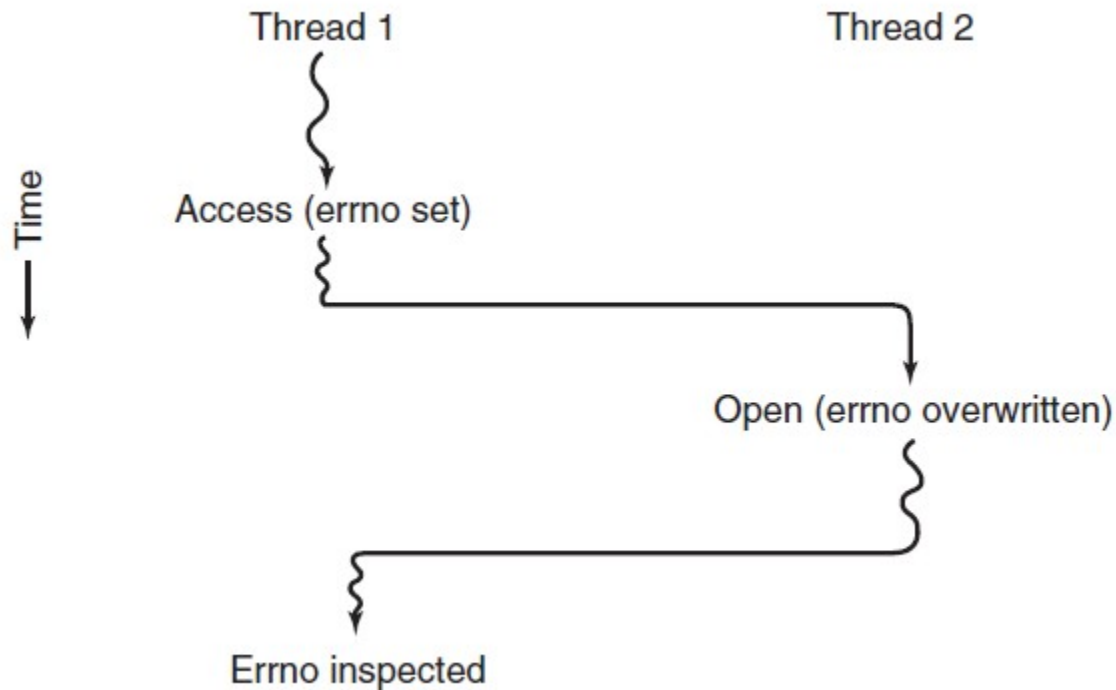


Figure 2-19. Conflicts between threads over the use of a global variable.

# Making Single-Threaded Code Multithreaded (2 of 2)

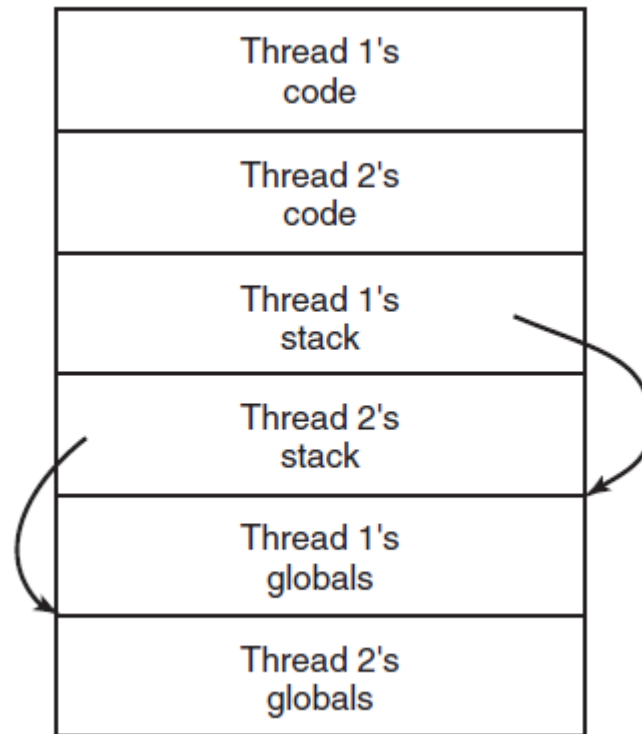


Figure 2-20. Threads can have private global variables.

# Race Conditions

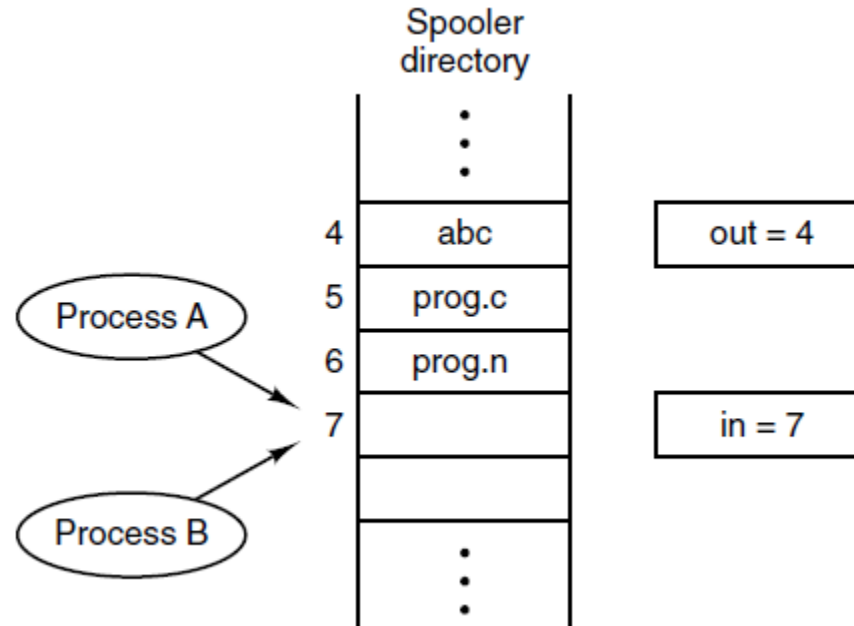


Figure 2-21. Two processes want to access shared memory at the same time.

# Critical Regions (1 of 2)

Requirements to avoid race conditions:

1. No two processes may be simultaneously inside their critical regions.
2. No assumptions may be made about speeds or the number of CPUs.
3. No process running outside its critical region may block other processes.
4. No process should have to wait forever to enter its critical region.

# Critical Regions (2 of 2)

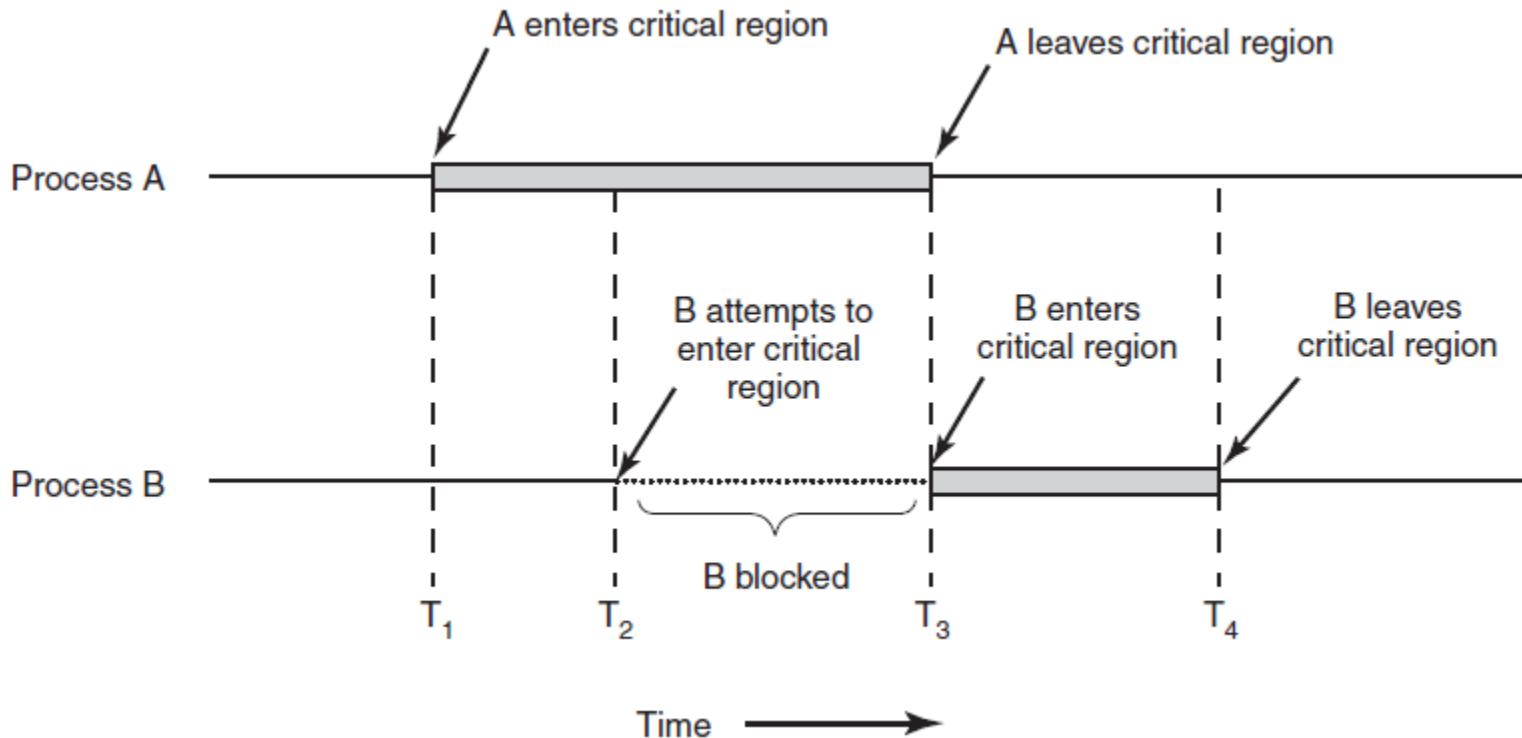


Figure 2-22. Mutual exclusion using critical regions.

# Mutual Exclusion with Busy Waiting: Strict Alternation

```
while (TRUE) {  
    while (turn != 0)    /* loop */ ;  
    critical_region();  
    turn = 1;  
    noncritical_region();  
}
```

(a)

```
while (TRUE) {  
    while (turn != 1)    /* loop */ ;  
    critical_region();  
    turn = 0;  
    noncritical_region();  
}
```

(b)

Figure 2-23. A proposed solution to the critical region problem. (a) Process 0. (b) Process 1. In both cases, be sure to note the semicolons terminating the **while** statements.

# Mutual Exclusion with Busy Waiting: Peterson's Solution

```
#define FALSE 0
#define TRUE 1
#define N      2                /* number of processes */

int turn;                       /* whose turn is it? */
int interested[N];             /* all values initially 0 (FALSE) */

void enter_region(int process); /* process is 0 or 1 */
{
    int other;                 /* number of the other process */

    other = 1 - process;      /* the opposite of process */
    interested[process] = TRUE; /* show that you are interested */
    turn = process;          /* set flag */
    while (turn == process && interested[other] == TRUE) /* null statement */ ;
}

void leave_region(int process) /* process: who is leaving */
{
    interested[process] = FALSE; /* indicate departure from critical region */
}
```

Figure 2-24. Peterson's solution for achieving mutual exclusion.



# Mutual Exclusion with Busy Waiting: The TSL Instruction (1 of 2)

enter_region:	
TSL REGISTER,LOCK	copy lock to register and set lock to 1
CMP REGISTER,#0	was lock zero?
JNE enter_region	if it was nonzero, lock was set, so loop
RET	return to caller; critical region entered
leave_region:	
MOVE LOCK,#0	store a 0 in lock
RET	return to caller

Figure 2-25. Entering and leaving a critical region using the TSL instruction.

# Mutual Exclusion with Busy Waiting: The TSL Instruction (2 of 2)

enter_region:	
MOVE REGISTER,#1	put a 1 in the register
XCHG REGISTER,LOCK	swap the contents of the register and lock variable
CMP REGISTER,#0	was lock zero?
JNE enter_region	if it was non zero, lock was set, so loop
RET	return to caller; critical region entered
leave_region:	
MOVE LOCK,#0	store a 0 in lock
RET	return to caller

Figure 2-26. Entering and leaving a critical region using the XCHG instruction

# Sleep and Wakeup: The Producer-Consumer Problem (1 of 2)

```
#define N 100
int count = 0;

void producer(void)
{
    int item;

    while (TRUE) {
        item = produce_item();
        if (count == N) sleep();
        insert_item(item);
        count = count + 1;
        if (count == 1) wakeup(consumer);
    }
}

void consumer(void)
```

*/\* number of slots in the buffer \*/*  
*/\* number of items in the buffer \*/*

*/\* repeat forever \*/*  
*/\* generate next item \*/*  
*/\* if buffer is full, go to sleep \*/*  
*/\* put item in buffer \*/*  
*/\* increment count of items in buffer \*/*  
*/\* was buffer empty? \*/*




Figure 2-27. The producer-consumer problem with a fatal race condition.

# Sleep and Wakeup: The Producer-Consumer Problem (2 of 2)

```
if (count == 1) wakeup(consumer);
}
}

void consumer(void)
{
    int item;

    while (TRUE) {
        if (count == 0) sleep();
        item = remove_item();
        count = count - 1;
        if (count == N - 1) wakeup(producer);
        consume_item(item);
    }
}
```

*/\* repeat forever \*/*  
*/\* if buffer is empty, got to sleep \*/*  
*/\* take item out of buffer \*/*  
*/\* decrement count of items in buffer \*/*  
*/\* was buffer full? \*/*  
*/\* print item \*/*

Figure 2-27. The producer-consumer problem with a fatal race condition.

# Semaphores (1 of 2)

```
#define N 100
typedef int semaphore;
semaphore mutex = 1;
semaphore empty = N;
semaphore full = 0;

void producer(void)
{
    int item;

    while (TRUE) {
        item = produce_item();
        down(&empty);
        down(&mutex);
        insert_item(item);
        up(&mutex);
        up(&full);
    }
}

void consumer(void)
```

*/\* number of slots in the buffer \*/*  
*/\* semaphores are a special kind of int \*/*  
*/\* controls access to critical region \*/*  
*/\* counts empty buffer slots \*/*  
*/\* counts full buffer slots \*/*

*/\* TRUE is the constant 1 \*/*  
*/\* generate something to put in buffer \*/*  
*/\* decrement empty count \*/*  
*/\* enter critical region \*/*  
*/\* put new item in buffer \*/*  
*/\* leave critical region \*/*  
*/\* increment count of full slots \*/*

Figure 2-28. The producer-consumer problem using semaphores.

# Semaphores (2 of 2)

```
        up(&full);           /* increment count of full slots */
    }
}

void consumer(void)
{
    int item;

    while (TRUE) {          /* infinite loop */
        down(&full);        /* decrement full count */
        down(&mutex);       /* enter critical region */
        item = remove_item(); /* take item from buffer */
        up(&mutex);         /* leave critical region */
        up(&empty);         /* increment count of empty slots */
        consume_item(item); /* do something with the item */
    }
}
```

Figure 2-28. The producer-consumer problem using semaphores.

# Mutexes

```
mutex_lock:
    TSL REGISTER,MUTEX      | copy mutex to register and set mutex to 1
    CMP REGISTER,#0        | was mutex zero?
    JZE ok                  | if it was zero, mutex was unlocked, so return
    CALL thread_yield      | mutex is busy; schedule another thread
    JMP mutex_lock          | try again
ok:      RET                | return to caller; critical region entered

mutex_unlock:
    MOVE MUTEX,#0          | store a 0 in mutex
    RET                    | return to caller
```

Figure 2-29. Implementation of **mutex\_lock** and **mutex\_unlock**.

# Mutexes in Pthreads (1 of 5)

Some of the Pthreads calls relating to mutexes.

<b>Thread Call</b>	<b>Description</b>
pthread_mutex	Create a mutex
pthread_mutex	Destroy an existing mutex
pthread_mutex	Acquire a lock or block
pthread_mutex	Acquire a lock or fail
pthread_mutex	Release a lock



# Mutexes in Pthreads (2 of 5)

Some of the Pthreads calls relating to condition variables.

<b>Thread Call</b>	<b>Description</b>
<code>Pthread_cond_init</code>	Create a mutex
<code>Pthread_cond_destroy</code>	Destroy an existing mutex
<code>Pthread_cond_wait</code>	Acquire a lock or block
<code>Pthread_cond_signal</code>	Acquire a lock or fail
<code>Pthread_cond_broadcast</code>	Release a lock

# Mutexes in Pthreads (3 of 5)

```
#include <stdio.h>
#include <pthread.h>
#define MAX 1000000000 /* how many numbers to produce */
pthread_mutex_t the_mutex; /* used for signaling */
pthread_cond_t condc, condp; /* buffer used between producer and consumer */
int buffer = 0; /* produce data */

void *producer(void *ptr)
{
    int i;

    for (i= 1; i <= MAX; i++) {
        pthread_mutex_lock(&the_mutex); /* get exclusive access to buffer */
        while (buffer != 0) pthread_cond_wait(&condp, &the_mutex);
        buffer = i; /* put item in buffer */
        pthread_cond_signal(&condc); /* wake up consumer */
        pthread_mutex_unlock(&the_mutex); /* release access to buffer */
    }
    pthread_exit(0);
}
```

*void \*consumer(void \*ptr) { int i; while (i <= MAX) { pthread\_mutex\_lock(&the\_mutex); while (buffer == 0) pthread\_cond\_wait(&condc, &the\_mutex); i = buffer; pthread\_mutex\_unlock(&the\_mutex); printf("Consumer: %d\n", i); } pthread\_exit(0); }*

Figure 2-32. Using threads to solve the producer-consumer problem.

# Mutexes in Pthreads (4 of 5)

```
pthread_exit(0);
}

void *consumer(void *ptr)          /* consume data */
{
    int i;

    for (i = 1; i <= MAX; i++) {
        pthread_mutex_lock(&the_mutex); /* get exclusive access to buffer */
        while (buffer == 0) pthread_cond_wait(&condc, &the_mutex);
        buffer = 0;                    /* take item out of buffer */
        pthread_cond_signal(&condp);   /* wake up producer */
        pthread_mutex_unlock(&the_mutex); /* release access to buffer */
    }
    pthread_exit(0);
}

int main(int argc, char **argv)
```

Figure 2-32. Using threads to solve the producer-consumer problem.

# Mutexes in Pthreads (5 of 5)

```
        pthread_exit(0);
    }

int main(int argc, char **argv)
{
    pthread_t pro, con;
    pthread_mutex_init(&the_mutex, 0);
    pthread_cond_init(&condc, 0);
    pthread_cond_init(&condp, 0);
    pthread_create(&con, 0, consumer, 0);
    pthread_create(&pro, 0, producer, 0);
    pthread_join(pro, 0);
    pthread_join(con, 0);
    pthread_cond_destroy(&condc);
    pthread_cond_destroy(&condp);
    pthread_mutex_destroy(&the_mutex);
}
```

---

Figure 2-32. Using threads to solve the producer-consumer problem.

# Monitors (1 of 6)

```
monitor example  
  integer i;  
  condition c;  
  
  procedure producer();  
  :  
  :  
  end;  
  
  procedure consumer();  
  . . .  
  end;  
end monitor;
```

Figure 2-33. A monitor.

# Monitors (2 of 6)

```
monitor ProducerConsumer
  condition full, empty;
  integer count;

  procedure insert(item: integer);
  begin
    if count = N then wait(full);
    insert_item(item);
    count := count + 1;
    if count = 1 then signal(empty)
  end;

  function remove: integer;
  begin
    if count = 0 then wait(empty);
    remove = remove_item;
    count := count - 1;
    if count = N - 1 then signal(full)
  end;

  count := 0;
end monitor;
```




Figure 2-34. An outline of the producer-consumer problem with monitors. Only one monitor procedure at a time is active. The buffer has N slots.

# Monitors (3 of 6)

```
procedure producer;  
begin  
    while true do  
    begin  
        item = produce_item;  
        ProducerConsumer.insert(item)  
    end  
end;  
  
procedure consumer;  
begin  
    while true do  
    begin  
        item = ProducerConsumer.remove;  
        consume_item(item)  
    end  
end;  
end;
```

Figure 2-34. An outline of the producer-consumer problem with monitors. Only one monitor procedure at a time is active. The buffer has N slots.

# Monitors (4 of 6)

```
public class ProducerConsumer {
    static final int N = 100;    // constant giving the buffer size
    static producer p = new producer(); // instantiate a new producer thread
    static consumer c = new consumer(); // instantiate a new consumer thread
    static our_monitor mon = new our_monitor(); // instantiate a new monitor

    public static void main(String args[]) {
        p.start(); // start the producer thread
        c.start(); // start the consumer thread
    }

    static class producer extends Thread {
        public void run() { // run method contains the thread code
            int item;
            while (true) { // producer loop
                item = produce_item();
                mon.insert(item);
            }
        }
        private int produce_item() { ... } // actually produce
    }

    static class consumer extends Thread {
```




Figure 2-35. A solution to the producer-consumer problem in Java.



# Monitors (5 of 6)

```
private int produce_item() { ... } // actually produce
}

static class consumer extends Thread {
    public void run() { run method contains the thread code
        int item;
        while (true) { // consumer loop
            item = mon.remove();
            consume_item (item);
        }
    }
    private void consume_item(int item) { ... } // actually consume
}

static class our_monitor { // this is a monitor
    private int buffer[] = new int[N];
    private int count = 0, lo = 0, hi = 0; // counters and indices

    public synchronized void insert(int val) {
        // with lock = this, monitor lock, if the buffer is full, wait
    }
}
```

Figure 2-35. A solution to the producer-consumer problem in Java.

# Monitors (6 of 6)

```
    if (count == N) go_to_sleep(); // if the buffer is full, go to sleep
    buffer [hi] = val; // insert an item into the buffer
    hi = (hi + 1) % N; // slot to place next item in
    count = count + 1; // one more item in the buffer now
    if (count == 1) notify(); // if consumer was sleeping, wake it up
}

public synchronized int remove() {
    int val;
    if (count == 0) go_to_sleep(); // if the buffer is empty, go to sleep
    val = buffer [lo]; // fetch an item from the buffer
    lo = (lo + 1) % N; // slot to fetch next item from
    count = count - 1; // one fewer items in the buffer
    if (count == N - 1) notify(); // if producer was sleeping, wake it up
    return val;
}
private void go_to_sleep() { try{wait();} catch(InterruptedException exc) {};}
}
```

Figure 2-35. A solution to the producer-consumer problem in Java.

# The Producer-Consumer Problem with Message Passing (1 of 2)

```
#define N 100                                /* number of slots in the buffer */

void producer(void)
{
    int item;
    message m;                                /* message buffer */

    while (TRUE) {
        item = produce_item();                /* generate something to put in buffer */
        receive(consumer, &m);                /* wait for an empty to arrive */
        build_message(&m, item);              /* construct a message to send */
        send(consumer, &m);                   /* send item to consumer */
    }
}

void consumer(void)
```




Figure 2-36. The producer-consumer problem with **N** messages.

# The Producer-Consumer Problem with Message Passing (2 of 2)

```
        send(consumer, &m);          /* send item to consumer */
    }
}

void consumer(void)
{
    int item, i;
    message m;

    for (i = 0; i < N; i++) send(producer, &m); /* send N empties */
    while (TRUE) {
        receive(producer, &m);          /* get message containing item */
        item = extract_item(&m);      /* extract item from message */
        send(producer, &m);           /* send back empty reply */
        consume_item(item);          /* do something with the item */
    }
}
```

Figure 2-36. The producer-consumer problem with **N** messages.

# Barriers

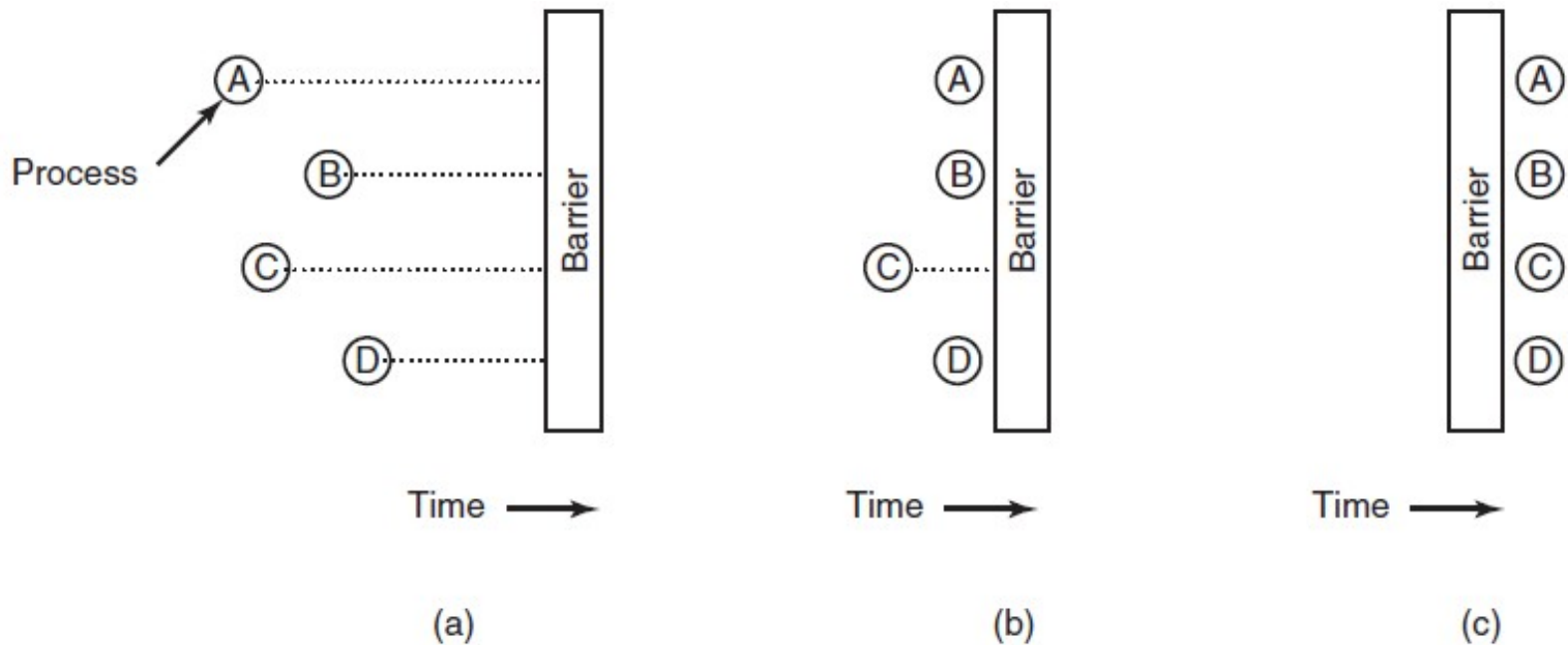
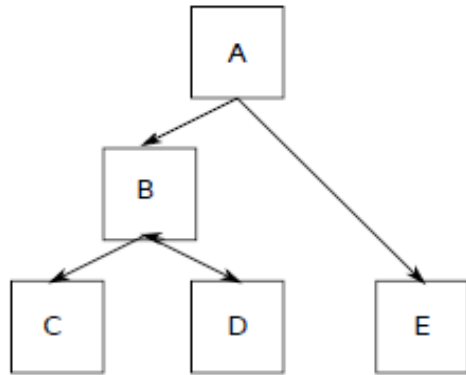


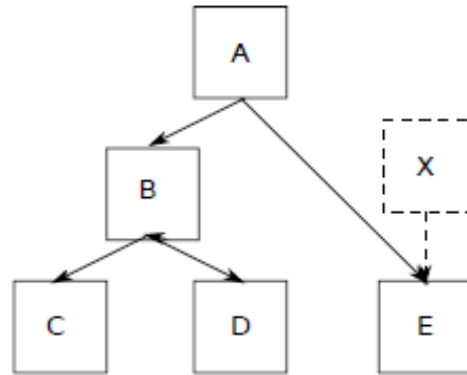
Figure 2-37. Use of a barrier. (a) Processes approaching a barrier. (b) All processes but one blocked at the barrier. (c) When the last process arrives at the barrier, all of them are let through.

# Avoiding Locks: Read-Copy-Update (1 of 2)

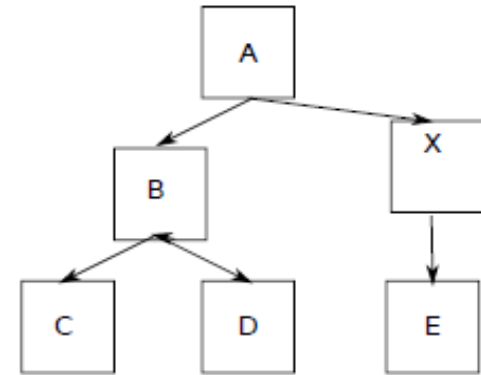
Adding a node:



(a) Original tree



(b) Initialize node X and connect E to X. Any readers in A and E are not affected.

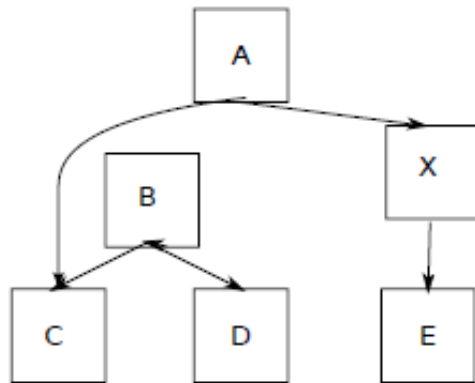


(c) When X is completely initialized, connect X to A. Readers currently in E will have read the old version, while readers in A will pick up the new version of the tree.

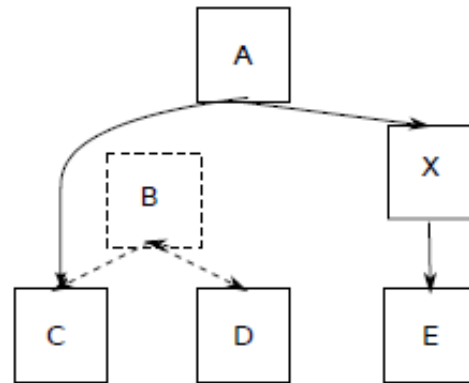
Figure 2-38. Read-Copy-Update: inserting a node in the tree and then removing a branch—all without locks

# Avoiding Locks: Read-Copy-Update (2 of 2)

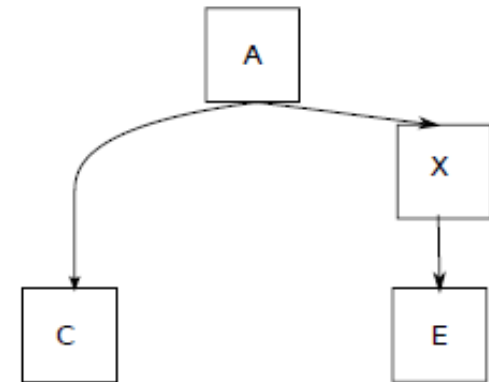
Removing nodes:



(d) Decouple B from A. Note that there may still be readers in B. All readers in B will see the old version of the tree, while all readers currently in A will see the new version.



(e) Wait until we are sure that all readers have left B and C. These nodes cannot be accessed by anymore.



(f) Now we can safely remove B and D

Figure 2-38. Read-Copy-Update: inserting a node in the tree and then removing a branch-all without locks

# Introduction to Scheduling Process Behavior

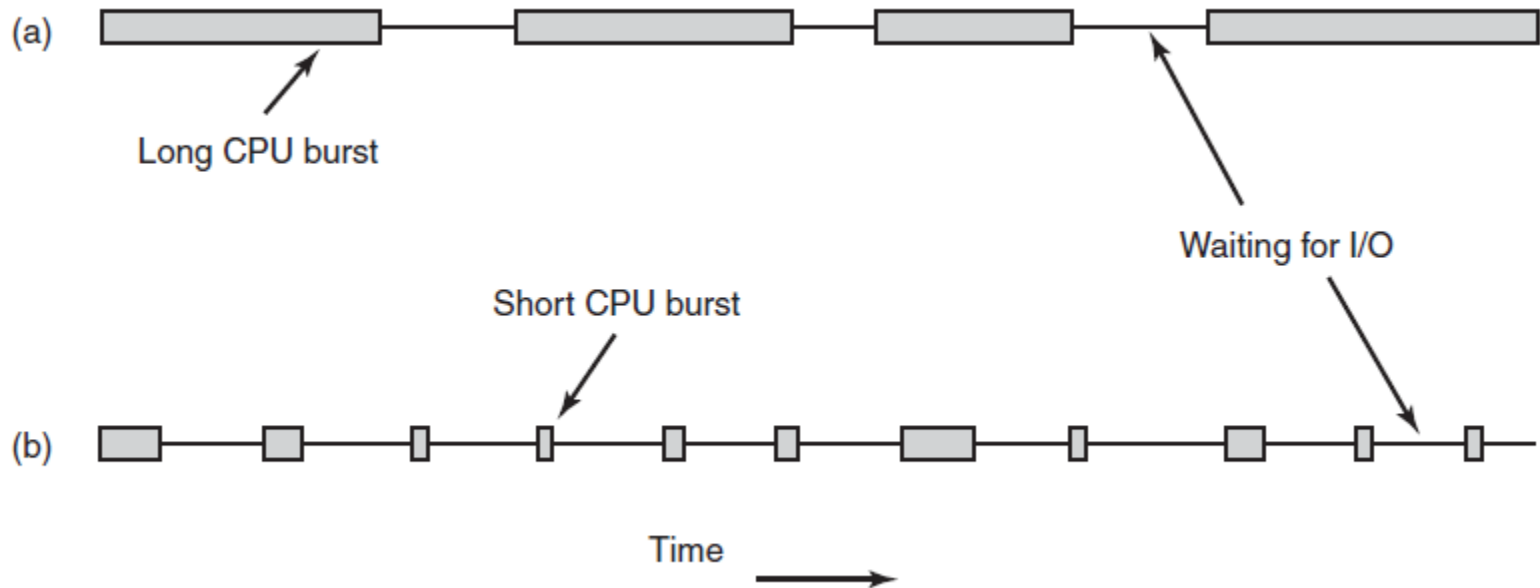


Figure 2-39. Bursts of CPU usage alternate with periods of waiting for I/O. (a) A CPU-bound process. (b) An I/O-bound process.



# Categories of Scheduling Algorithms

1. Batch.
2. Interactive.
3. Real time.

# Scheduling Algorithm Goals (1 of 2)

Some goals of the scheduling algorithm under different circumstances.

- All systems
  - Fairness - giving each process a fair share of the CPU
  - Policy enforcement - seeing that stated policy is carried out
  - Balance - keeping all parts of the system busy
- Batch systems
  - Throughput - maximize jobs per hour

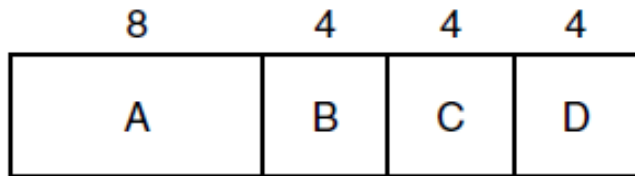
# Scheduling Algorithm Goals (2 of 2)

- Turnaround time - minimize time between submission and termination
- CPU utilization - keep the CPU busy all the time
- Interactive systems
  - Response time - respond to requests quickly
  - Proportionality - meet users' expectations
- Real-time systems
  - Meeting deadlines - avoid losing data
  - Predictability - avoid quality degradation in multimedia systems

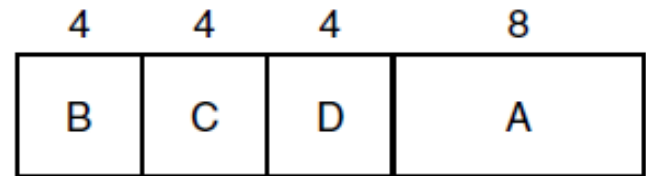
# Scheduling in Batch Systems

- First-Come First-Served
- Shortest Job First
- Shortest Remaining Time Next

# Shortest Job First



(a)



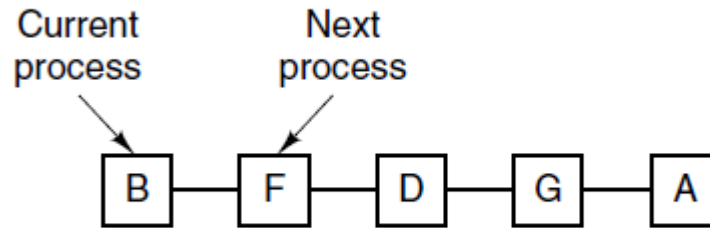
(b)

Figure 2-41. An example of shortest job first scheduling. (a) Running four jobs in the original order. (b) Running them in shortest job first order.

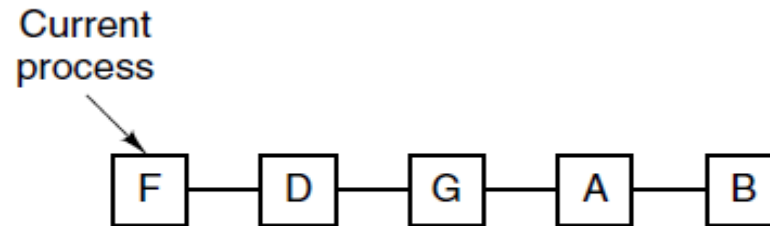
# Scheduling in Interactive Systems

- Round-Robin Scheduling
- Priority Scheduling
- Multiple Queues
- Shortest Process Next
- Guaranteed Scheduling
- Lottery Scheduling
- Fair-Share Scheduling

# Round-Robin Scheduling



(a)



(b)

Figure 2-42. Round-robin scheduling. (a) The list of runnable processes. (b) The list of runnable processes after **B** uses up its quantum.

# Priority Scheduling

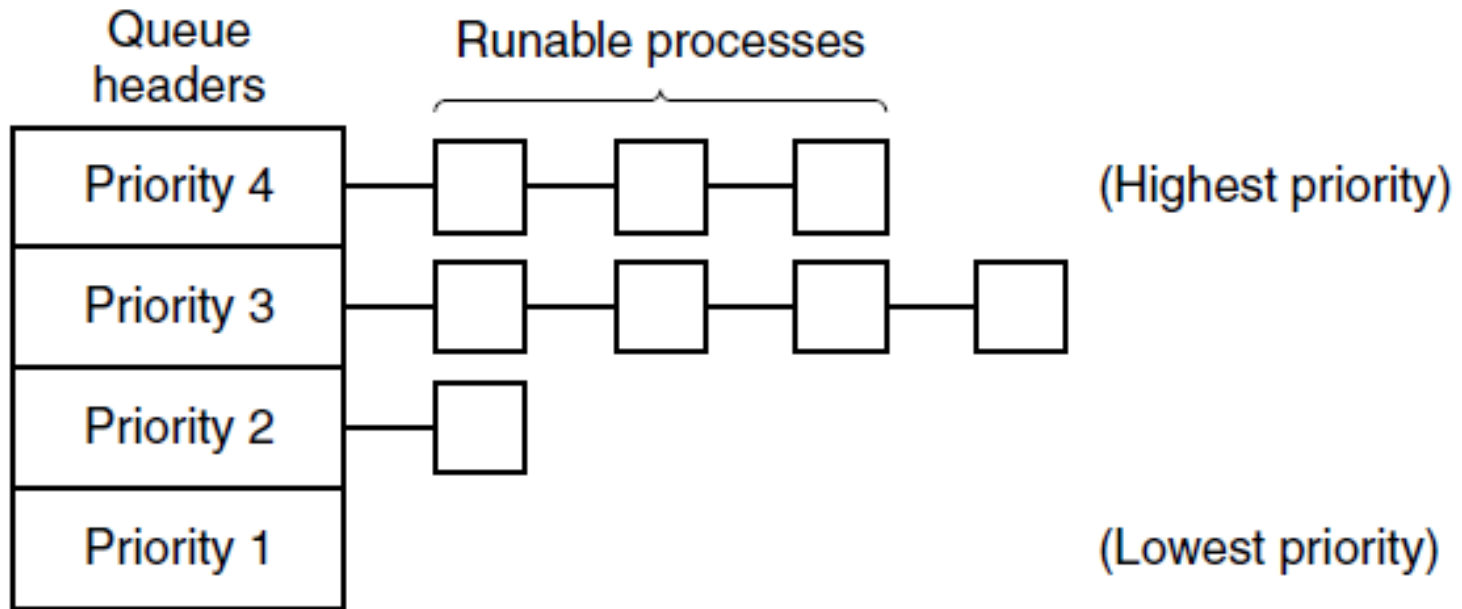


Figure 2-43. A scheduling algorithm with four priority classes.

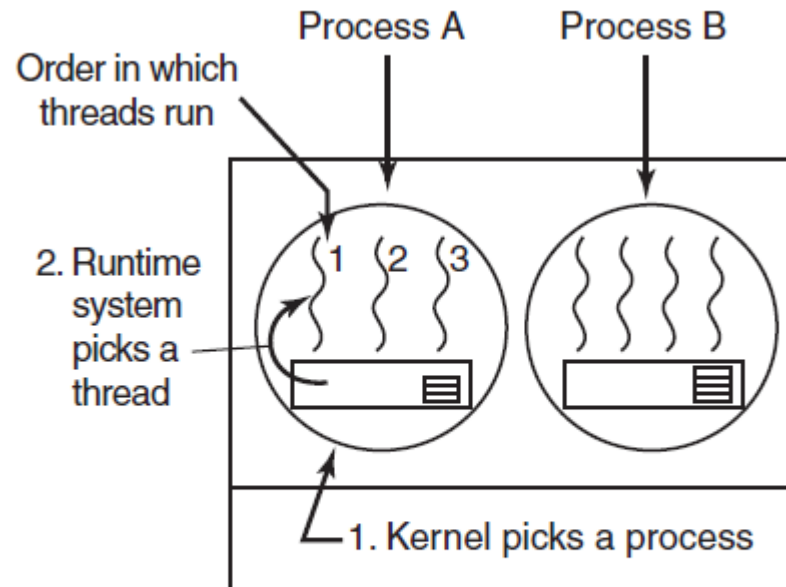


# Scheduling in Real-Time Systems

- Time plays an essential role
- Categories
  - Hard real time
  - Soft real time
  - Periodic or aperiodic
- Schedulable satisfies

$$\sum_{i=1}^m \frac{C_i}{P_i} \leq 1$$

# Thread Scheduling (1 of 2)



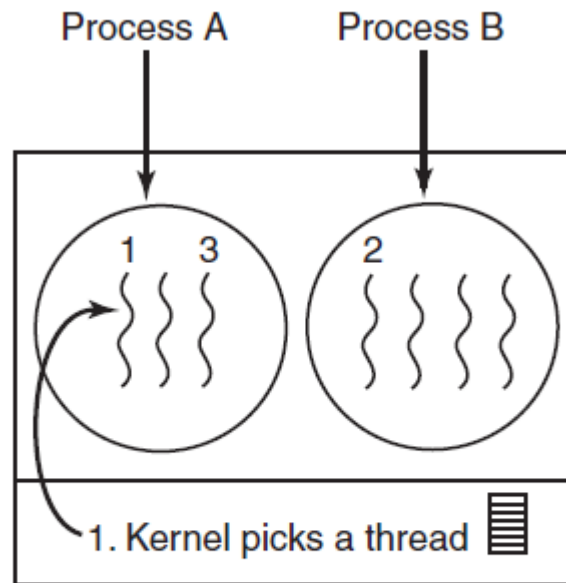
Possible: A1, A2, A3, A1, A2, A3

Not possible: A1, B1, A2, B2, A3, B3

(a)

Figure 2-44. (a) Possible scheduling of user-level threads with a 50-msec process quantum and threads that run 5 msec per CPU burst.

# Thread Scheduling (2 of 2)



Possible: A1, A2, A3, A1, A2, A3

Also possible: A1, B1, A2, B2, A3, B3

(b)

Figure 2-44. (b) Possible scheduling of kernel-level threads with the same characteristics as (a).

# The Dining Philosophers Problem (1 of 5)

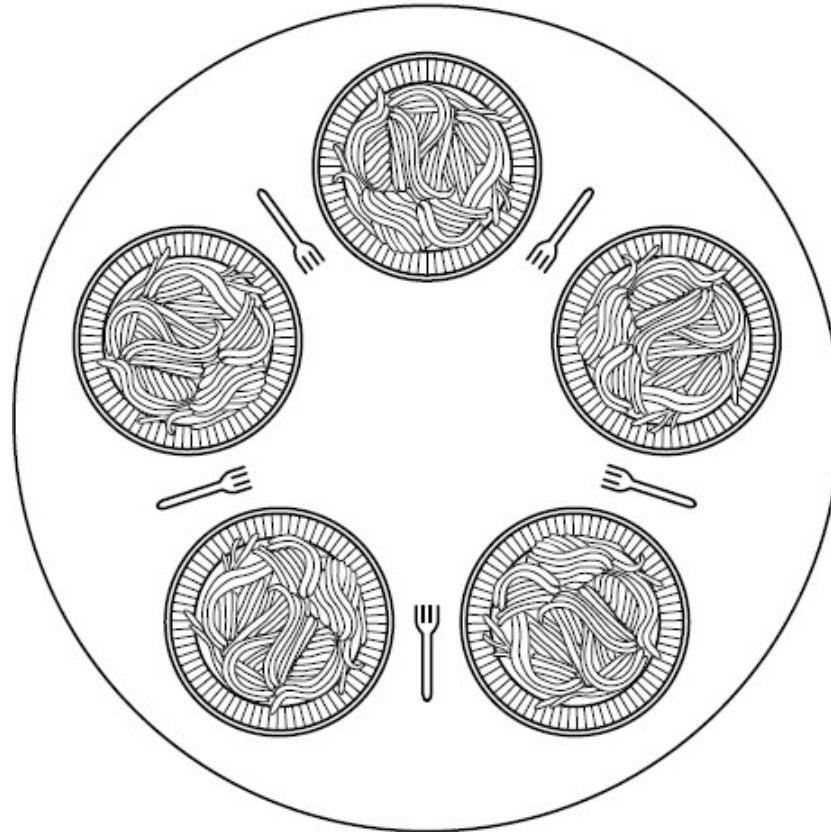


Figure 2-45. Lunch time in the Philosophy Department.

# The Dining Philosophers Problem (2 of 5)

```
#define N 5                                /* number of philosophers */

void philosopher(int i)                    /* i: philosopher number, from 0 to 4 */
{
    while (TRUE) {
        think();                          /* philosopher is thinking */
        take_fork(i);                      /* take left fork */
        take_fork((i+1) % N);             /* take right fork; % is modulo operator */
        eat();                             /* yum-yum, spaghetti */
        put_fork(i);                       /* put left fork back on the table */
        put_fork((i+1) % N);              /* put right fork back on the table */
    }
}
```

Figure 2-46. A nonsolution to the dining philosophers problem.

# The Dining Philosophers Problem (3 of 5)

```
#define N          5                /* number of philosophers */
#define LEFT      (i+N-1)%N        /* number of i's left neighbor */
#define RIGHT     (i+1)%N         /* number of i's right neighbor */
#define THINKING  0                /* philosopher is thinking */
#define HUNGRY    1                /* philosopher is trying to get forks */
#define EATING    2                /* philosopher is eating */
typedef int semaphore;            /* semaphores are a special kind of int */
int state[N];                    /* array to keep track of everyone's state */
semaphore mutex = 1;             /* mutual exclusion for critical regions */
semaphore s[N];                  /* one semaphore per philosopher */

void philosopher(int i)          /* i: philosopher number, from 0 to N-1 */
{
    while (TRUE) {               /* repeat forever */
        think();                 /* philosopher is thinking */
        take_forks(i);           /* acquire two forks or block */
        eat();                   /* yum-yum, spaghetti */
        put_forks(i);           /* put both forks back on table */
    }
}
```

Figure 2-47. A solution to the dining philosophers problem.

# The Dining Philosophers Problem (4 of 5)

```
        put_forks(i);          /* put both forks back on table */
    }
}

void take_forks(int i)        /* i: philosopher number, from 0 to N-1 */
{
    down(&mutex);            /* enter critical region */
    state[i] = HUNGRY;      /* record fact that philosopher i is hungry */
    test(i);                 /* try to acquire 2 forks */
    up(&mutex);              /* exit critical region */
    down(&s[i]);             /* block if forks were not acquired */
}

void put_forks(i)            /* i: philosopher number, from 0 to N-1 */
```

Figure 2-47. A solution to the dining philosophers problem.

# The Dining Philosophers Problem (5 of 5)

```

}

void put_forks(i)                /* i: philosopher number, from 0 to N-1 */
{
    down(&mutex);                /* enter critical region */
    state[i] = THINKING;        /* philosopher has finished eating */
    test(LEFT);                 /* see if left neighbor can now eat */
    test(RIGHT);                /* see if right neighbor can now eat */
    up(&mutex);                  /* exit critical region */
}

void test(i) /* i: philosopher number, from 0 to N-1 */
{
    if (state[i] == HUNGRY && state[LEFT] != EATING && state[RIGHT] != EATING) {
        state[i] = EATING;
        up(&s[i]);
    }
}
}

```

Figure 2-47. A solution to the dining philosophers problem.



# The Readers and Writers Problem (1 of 2)

```
typedef int semaphore;           /* use your imagination */
semaphore mutex = 1;           /* controls access to 'rc' */
semaphore db = 1;              /* controls access to the database */
int rc = 0;                     /* # of processes reading or wanting to */

void reader(void)
{
    while (TRUE) {              /* repeat forever */
        down(&mutex);           /* get exclusive access to 'rc' */
        rc = rc + 1;            /* one reader more now */
        if (rc == 1) down(&db); /* if this is the first reader ... */
        up(&mutex);             /* release exclusive access to 'rc' */
        read_data_base();       /* access the data */
        down(&mutex);           /* get exclusive access to 'rc' */
        rc = rc - 1;            /* one reader fewer now */
        if (rc == 0) up(&db);   /* if this is the last reader ... */
        up(&mutex);             /* release exclusive access to 'rc' */
        use_data_read();        /* noncritical region */
    }
}

void writer(void)
```

Figure 2-48. A solution to the readers and writers problem.

# The Readers and Writers Problem (2 of 2)

```
        use_data_read();          /* noncritical region */
    }
}

void writer(void)
{
    while (TRUE) {                /* repeat forever */
        think_up_data();          /* noncritical region */
        down(&db);                /* get exclusive access */
        write_data_base();       /* update the data */
        up(&db);                  /* release exclusive access */
    }
}
```

Figure 2-48. A solution to the readers and writers problem.

# Copyright



**This work is protected by United States copyright laws and is provided solely for the use of instructors in teaching their courses and assessing student learning. Dissemination or sale of any part of this work (including on the World Wide Web) will destroy the integrity of the work and is not permitted. The work and materials from it should never be made available to students except by instructors using the accompanying text in their classes. All recipients of this work are expected to abide by these restrictions and to honor the intended pedagogical purposes and the needs of other instructors who rely on these materials.**